

NIH Ethics Office (NEO)

Substantially Affected Organization (SAO) Determination Request

Requester's Name:

Date:

Institute or Center (IC):

EMIS ID#:

1 ORGANIZATION

Name:

Stock Ticker Symbol:

Website Address:

Sector:

Company Description (e.g. Hoovers, Yahoo or Google Finance):

2 ANALYSIS

*Enter a detailed analysis about the organization in question with respect to SAO criteria. Include links to information you deem relevant and a list of competitors. Please do **NOT** use a cut and paste of the entity profile or summary.*

Note: If a company itself does not meet our SAO definition but includes subsidiaries, include subsidiary information regarding value of the company -- if 10% of the value of the company comes from biotech, pharmaceutical products or medical devices, then the company may be a substantially affected organization. Please submit additional links or company value data. (If additional space is required, use the space provided at the end of this form.)

3 IC RECOMMENDATION

After you have provided sufficient data about the entity in the analysis section, write your recommendation to support your determination, check the appropriate box and sign below.

IC Comments:

IC Recommendation:

SAO

Non-SAO

Signature:

4 NEO DETERMINATION

(NEO use only)

Specialist Comments:

Specialist Recommendation:

SAO

Non-SAO

Signature:

Management Comments:

NEO Final Determination:

SAO

Non-SAO

Signature:

Signature:

**SAO Determination
additional space**